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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

D-7454

First Inventor

Peter A. Rodriguez

Title

ROLL OF PAPERBAND

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
  2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
  3. ☒ Specification [Total Pages (preferred arrangement set forth below)
    - Descriptive title of the invention
    - Cross Reference to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table, or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets   - 5. ☒ Oath or Declaration [Total Pages   - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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Name (Print/Type)

Arthur G. Yeager

Registration No. (Attorney/Agent)

19,892

Signature

Arthur G. Yeager

Date

July 7, 2003

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17354 U.S. PTO  
10/617418  
07/11/03

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**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 595.00

**Complete if Known**

|                      |                    |
|----------------------|--------------------|
| Application Number   |                    |
| Filing Date          |                    |
| First Named Inventor | Peter A. Rodriguez |
| Examiner Name        |                    |
| Group Art Unit       |                    |
| Attorney Docket No.  | D-7454             |

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account (Atty. Ck. No. 15568)Deposit  
Account  
Number  
Deposit  
Account  
Name

02-0660

Arthur G. Yeager, P.A.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description        | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 101 740                       | 201 370                       | Utility filing fee     | 375      |
| 106 330                       | 206 165                       | Design filing fee      |          |
| 107 510                       | 207 255                       | Plant filing fee       |          |
| 108 740                       | 208 370                       | Reissue filing fee     |          |
| 114 160                       | 214 80                        | Provisional filing fee |          |

SUBTOTAL (1) (\$ 375.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 40                 | -20** = 20   | x 9.00 =       | 180      |
| 3                  | -3** = -0    | x -0- =        | -0-      |
| Multiple Dependent |              |                |          |

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description  | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 103 18                        | 203 9                         | Claims in excess of 20                                     |          |
| 102 84                        | 202 42                        | Independent claims in excess of 3                          |          |
| 104 280                       | 204 140                       | Multiple dependent claim, if not paid                      |          |
| 109 84                        | 209 42                        | ** Reissue independent claims over original patent         |          |
| 110 18                        | 210 9                         | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$ 180.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description  | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 105 130                       | 205 65                        | Surcharge - late filing fee or oath  |          |
| 127 50                        | 227 25                        | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                       | 139 130                       | Non-English specification  |          |
| 147 2,520                     | 147 2,520                     | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112 920*                      | 112 920*                      | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                    | 113 1,840*                    | Requesting publication of SIR after Examiner action                        |          |
| 115 110                       | 215 55                        | Extension for reply within first month                                     |          |
| 116 400                       | 216 200                       | Extension for reply within second month                                    |          |
| 117 920                       | 217 460                       | Extension for reply within third month                                     |          |
| 118 1,440                     | 218 720                       | Extension for reply within fourth month                                    |          |
| 128 1,960                     | 228 980                       | Extension for reply within fifth month                                     |          |
| 119 320                       | 219 160                       | Notice of Appeal   |          |
| 120 320                       | 220 160                       | Filing a brief in support of an appeal                                     |          |
| 121 280                       | 221 140                       | Request for oral hearing   |          |
| 138 1,510                     | 138 1,510                     | Petition to institute a public use proceeding                              |          |
| 140 110                       | 240 55                        | Petition to revive - unavoidable   |          |
| 141 1,280                     | 241 640                       | Petition to revive - unintentional   |          |
| 142 1,280                     | 242 640                       | Utility issue fee (or reissue)   |          |
| 143 460                       | 243 230                       | Design issue fee   |          |
| 144 620                       | 244 310                       | Plant issue fee  |          |
| 122 130                       | 122 130                       | Petitions to the Commissioner  |          |
| 123 50                        | 123 50                        | Processing fee under 37 CFR 1.17(q)  |          |
| 126 180                       | 126 180                       | Submission of Information Disclosure Stmt                                  |          |
| 581 40                        | 581 40                        | Recording each patent assignment per property (times number of properties) | 40       |
| 146 740                       | 246 370                       | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 740                       | 249 370                       | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 740                       | 279 370                       | Request for Continued Examination (RCE)                                    |          |
| 169 900                       | 169 900                       | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00

**SUBMITTED BY****Complete (if applicable)**

|                   |                         |                                   |              |           |                |
|-------------------|-------------------------|-----------------------------------|--------------|-----------|----------------|
| Name (Print/Type) | Arthur G. Yeager        | Registration No. (Attorney/Agent) | 19,892       | Telephone | (904) 355-9631 |
| Signature         | <i>Arthur G. Yeager</i> | Date                              | July 7, 2003 |           |                |

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